

**RESPIRATORY CARE BOARD OF CALIFORNIA**  
**Breakdown of Complaints Received by Fiscal Year**

|   | FY 2013/14 |     | FY 2014/15 |     | FY 2015/16 |     | FY 2016/17 |     | FY 2017/18 |     |
|---|------------|-----|------------|-----|------------|-----|------------|-----|------------|-----|
|   | #          | %   | #          | %   | #          | %   | #          | %   | #          | %   |
| <b>CRIMINAL CONVICTIONS *</b>                   | 613        | 72% | 534        | 62% | 452        | 55% | 445        | 60% | 450        | 56% |
| <b>COMPLAINTS **</b>                            | 97         | 11% | 106        | 12% | 86         | 10% | 109        | 15% | 119        | 15% |
| <b>UNLICENSED PRACTICE (lapse in licensure)</b> | 147        | 17% | 222        | 26% | 290        | 35% | 189        | 25% | 234        | 29% |
|   | <b>857</b> |     | <b>862</b> |     | <b>828</b> |     | <b>743</b> |     | <b>803</b> |     |

**\* CRIMINAL CONVICTIONS**

|   |            |     |            |     |            |     |            |     |            |     |
|---|------------|-----|------------|-----|------------|-----|------------|-----|------------|-----|
| Drugs Related Offense                         | 17         | 3%  | 17         | 3%  | 7          | 2%  | 17         | 4%  | 16         | 4%  |
| Fraud   | 6          | 1%  | 1          | 0%  | 0          | 0%  | 0          | 0%  | 1          | 0%  |
| Sexual Misconduct                             | 10         | 2%  | 8          | 1%  | 6          | 1%  | 5          | 1%  | 4          | 1%  |
| Battery / Abuse Conduct                       | 31         | 5%  | 40         | 7%  | 39         | 9%  | 45         | 10% | 26         | 6%  |
| Theft Related                                 | 18         | 3%  | 14         | 3%  | 10         | 2%  | 10         | 2%  | 15         | 3%  |
| Driving Under the Influence                   | 95         | 15% | 74         | 14% | 72         | 16% | 68         | 15% | 69         | 15% |
| Initial Applicant Investigations <sup>1</sup> | 272        | 44% | 209        | 39% | 194        | 43% | 191        | 43% | 178        | 40% |
| Unidentified on Renewal App                   | 127        | 21% | 141        | 26% | 82         | 18% | 70         | 16% | 99         | 22% |
| Other   | 37         | 6%  | 30         | 6%  | 42         | 9%  | 39         | 9%  | 42         | 9%  |
|   | <b>613</b> |     | <b>534</b> |     | <b>452</b> |     | <b>445</b> |     | <b>450</b> |     |

<sup>1</sup> Initial Applicant Investigations may include multiple criminal convictions

**\*\* COMPLAINTS - In rare cases includes criminal convictions**

|                                       |           |     |            |     |           |     |            |     |            |     |
|---------------------------------------|-----------|-----|------------|-----|-----------|-----|------------|-----|------------|-----|
| Negligence/Incompetence               | 33        | 34% | 27         | 25% | 10        | 12% | 21         | 19% | 23         | 19% |
| Unlicensed Practice                   | 8         | 8%  | 7          | 7%  | 14        | 16% | 4          | 4%  | 5          | 4%  |
| Mental/Physical Impairment            | 9         | 9%  | 0          | 0%  | 0         | 0%  | 5          | 5%  | 1          | 1%  |
| Sexual Misconduct                     | 1         | 1%  | 2          | 2%  | 4         | 5%  | 7          | 6%  | 7          | 6%  |
| Substance Abuse/Drug Related Offenses | 10        | 10% | 14         | 13% | 8         | 9%  | 2          | 2%  | 16         | 13% |
| Fraud                                 | 12        | 12% | 16         | 15% | 15        | 17% | 19         | 17% | 19         | 16% |
| Unprofessional Conduct                | 12        | 12% | 17         | 16% | 16        | 19% | 15         | 14% | 22         | 18% |
| Other                                 | 12        | 12% | 23         | 22% | 19        | 22% | 36         | 33% | 26         | 22% |
|                                       | <b>97</b> |     | <b>106</b> |     | <b>86</b> |     | <b>109</b> |     | <b>119</b> |     |